### PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q92435

Shigeto UCHIYAMA, et al.

Appln. No.: 10/562,687

Group Art Unit: Unknown

Confirmation No.: 3798

Examiner: Unknown

Filed: December 28, 2005

For:

EQUOL-PRODUCING LACTIC ACID BACTERIA-CONTAINING COMPOSITION

## REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

ATTN: Office of Initial Patent Examination

Filing Receipt Correction

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

We enclose a copy of the Official Filing Receipt for the above-identified application and request the following correction:

Assignee Name: OTSUKA PHARMACEUTICAL CO., LTD.

Verification for the requested correction is indicated on the Assignment filed December 15, 2005.

Respectfully submitted,

Registration No. 30,764

Gordon Kit

SUGHRUE MION, PLLC

Telephone: (202) 293-7060

Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: March 1, 2007

# FILING RECEIPT PLEASE DATE STAMP AND RETURN TO US - BOX 235X

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Shigeto UCHIYAMA, et al.

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### PAPERS FILED ENTITLED:

1. Request for Corrected Official Filing Receipt

2. Marked up Copy of Official Filing Receipt

3. Assignment

SUGHRUE MION, PLLC

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ATTORNEY/SEC: Gordon Kit Date Filed: March 1, 2007



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trudemark Office Aderes COMMISSIONER FOR PATENTS POR 1899 Alexandre, Vugnue 22313-1450 www.uspin.gov

| APPŁ NO.   | FILING OR 371<br>(c) DATE | ART UNIT | FIL FEE REC'D | ATTY.DOCKET NO | DRAWINGS | TOTCLMS | IND CLMS |
|------------|---------------------------|----------|---------------|----------------|----------|---------|----------|
| 10/562.687 | 12/28/2005                | 1761     | 900           | Q92435         | 7        | 13      | 3        |

**CONFIRMATION NO. 3798** CORRECTED FILING RECEIPT

"OC000000020360386"

23373 SUGHRUE MION, PLLC 2100 PENNSYLVANIA AVENUE, N.W. SUITE 800 WASHINGTON, DC 20037

Date Mailed: 09/08/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Shigeto Uchiyama, Miyaki-gun, JAPAN; Tomomi Ueno, Kurume-shi, JAPAN; Toshimi Suzuki, Kurume-shi, JAPAN;

Assignment For Published Patent Application

Otsuka Pharmaceutical Co Ltd

Otsuka Pharmaceutical Co, Ltd.

Power of Attorney: The patent practitioners associated with Customer Number 23373.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP04/09484 06/29/2004

Foreign Applications

JAPAN 2003-187831 06/30/2003

If Required, Foreign Filing License Granted: 03/30/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/562,687

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

Title

Equal-producing lactic acid bacteria-containing composition

Preliminary Class

426

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Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filling of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filling of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

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# Assignment

Whereas, I/We, 1) UCHIYAMA Shigeto, 2) UENO Tomomi, and 3) SUZUKI Toshimi, of 1) 1157-1, Oaza Miyaura, Kiyama-cho, Miyaki-gun, Saga 8410204, Japan; 2) 5-2-7-103, Komorino, Kurume-shi, Fukuoka 8300001, Japan; and 3) 1744-3-206, Tsubukuhonmachi, Kurume-shi, Fukuoka 8300047, Japan hereinafter called assignor(s), have invented certain improvements in

| Kurume-shi, Fukuoka improvements in  | 8300047, Japan hereinafter called assignor(s), have invented certain   |
|--|--|
| •  | G LACTIC ACID BACTERIA-CONTAINING COMPOSITION  |
|  |  |
| and executed an applica<br>December 15, 2005   | tion for Letters Patent of the United States of America therefor on ; and  |
| Whereas,   | OTSUKA PHARMACEUTICAL CO., LTD., of  |
|  | 9. Kandatsukasa-cho 2-chome, Chiyoda-ku,   |
|  | Tokyo 1018535, Japan   |
| (assignee), desir-<br>invention, and to any Un   | es to acquire the entire right, title, and interest in the application and ited States patents to be obtained therefor;  |
| Now therefore, fo  | r valuable consideration, receipt whereof is hereby acknowledged   |
| invention disclosed therein under 35 U.S.C. §119, and issue any Letters Patent g successors and assigns; a necessary by the assigneed do so by the assignee. | named assignor(s), hereby sell, assign and transfer to the above named and assigns, the entire right, title and interest in the application and the in for the United States of America, including the right to claim priority and I/we request the Director of the U.S. Patent and Trademark Office to transfed upon the invention set forth in the application to the assignee, its and I/we will execute without further consideration all papers deemed in connection with the United States application when called upon to |
| (Application number number and filing date of s  | orize and request our attorneys SUGHRUE MION, PLLC of 2100 NW, Washington, DC 20037-3213 to insert here in parentheses and Confirmation number and application when known.  Said application when known.   |
| Date: December 15, 12005   | Momi Leno<br>NO Tomomi   |
| Date: December 15, 2005  | e Cat  |
| S/ SU.   | ZUKI Toshimi   |
| s/   |  |

(Legalization not required for recording but is prima facie evidence of execution under 35 U.S.C. §261)